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ON THE ZEROS OF THE POLAR DERIVATIVE OF A POLYNOMIAL

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ABSTRACT

In this paper we find bounds for the zeros of the polar derivative of a polynomial under certain conditions on the coefficients. Our results generalize many known results in this direction.

Key words:

Bound, coefficients, Polynomial,
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INTRODUCTION

For any polynomial $P(z)$ of degree n , the polar derivative of $P(z)$ with respect to a positive real number α is defined by $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ which is a polynomial of degree at most $n-1$. The polar derivative generalizes the ordinary derivative in the sense that $\lim_{\alpha \rightarrow \infty} \frac{D_\alpha P(z)}{\alpha} = P'(z)$. In the context of the famous Enestrom-Keakeya Theorem (Marden, 1966) which states that all the zeros of the polynomial $P(z) = \sum_{j=0}^n a_j z^j$ with $a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0$ lie in $|z| \leq 1$, attempts have been made to find bounds for the zeros of $D_\alpha P(z)$ under certain conditions on its coefficients. In this connection recently Ramulu *et al.*, (2015) proved the following results:

Theorem A: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that $na_0 \leq (n-1)a_1 \leq (n-2)a_2 \leq \dots \leq 3a_{n-1} \leq 2a_{n-2} \leq a_{n-1}$.

If $\alpha = 0$, then all the zeros of the polar derivative $D_0 P(z)$ lie in $|z| \leq \frac{1}{|a_{n-1}|} [a_{n-1} - na_0 + |na_0|]$.

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Theorem B: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that $na_0 \geq (n-1)a_1 \geq (n-2)a_2 \geq \dots \geq 3a_{n-1} \geq 2a_{n-2} \geq a_{n-1}$.

If $\alpha = 0$, then all the zeros of the polar derivative $D_0 P(z)$ lie in $|z| \leq \frac{1}{|a_{n-1}|} [na_0 + na_0 - a_{n-1}]$.

Later Reddy *et al.* (2015) proved the following generalizations of Theorems A and B:

Theorem C: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that $(i+2)\alpha a_{i+2} + \{n - (i+1)\}\alpha a_{i+1} \geq (i+1)\alpha a_{i+1} + (n-i)a_i, i = 0, 1, 2, \dots, n-2$.

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in

$$|z| \leq \frac{1}{|n\alpha a_n + a_{n-1}|} [n\alpha a_n + a_{n-1} - (\alpha a_1 + na_0) + |\alpha a_1 + na_0|].$$

Theorem D: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of

$P(z)$ with respect to a real number α such that

$$(i+2)\alpha a_{i+2} + \{n - (i+1)\}\alpha a_{i+1} \leq (i+1)\alpha a_{i+1} + (n-i)a_i, i = 0, 1, 2, \dots, n-2.$$

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in

$$|z| \leq \frac{1}{|n\alpha a_n + a_{n-1}|} [|\alpha a_1 + na_0| + (\alpha a_1 + na_0) - n\alpha a_n - a_{n-1}].$$

In this paper we find lower bounds for the zeros of $D_\alpha P(z)$ under the same conditions. In fact, we prove the following results:

Theorem 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of

$P(z)$ with respect to a real number α such that

$$(i+2)\alpha a_{i+2} + \{n - (i+1)\}\alpha a_{i+1} \geq (i+1)\alpha a_{i+1} + (n-i)a_i, i = 0, 1, 2, \dots, n-2.$$

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in $|z| \geq \frac{|\alpha a_1 + na_0|}{M}$, where

$$M = |n\alpha a_n + a_{n-1}| + (n\alpha a_n + a_{n-1}) - (\alpha a_1 + na_0)$$

Combining Theorem C and Theorem 1, we get the following result:

Theorem 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of

$P(z)$ with respect to a real number α such that

$$(i+2)\alpha a_{i+2} + \{n - (i+1)\}\alpha a_{i+1} \geq (i+1)\alpha a_{i+1} + (n-i)a_i, i = 0, 1, 2, \dots, n-2.$$

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in

$$\frac{|\alpha a_1 + na_0|}{M} \leq |z| \leq \frac{1}{|n\alpha a_n + a_{n-1}|} [n\alpha a_n + a_{n-1} - (\alpha a_1 + na_0) + |\alpha a_1 + na_0|],$$

where M is as given in Theorem 1.

Taking $\alpha, a_i > 0, i = 0, 1, 2, \dots, n$ in Theorem 2, we get the following result:

Corollary 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with positive coefficients and

$D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that $(i + 2)\alpha a_{i+2} + \{n - (i + 1)\} \alpha a_{i+1} \geq (i + 1)\alpha a_{i+1} + (n - i)a_i, i = 0, 1, 2, \dots, n - 2$.

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in $\frac{\alpha a_1 + n a_0}{2(n\alpha a_n + a_{n-1}) - (\alpha a_1 + n a_0)} \leq |z| \leq 1$.

Theorem 3: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that

$(i + 2)\alpha a_{i+2} + \{n - (i + 1)\} \alpha a_{i+1} \leq (i + 1)\alpha a_{i+1} + (n - i)a_i, i = 0, 1, 2, \dots, n - 2$.

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in $|z| \geq \frac{|\alpha a_1 + n a_0|}{M'}$, where

$$M' = |n\alpha a_n + a_{n-1}| - n\alpha a_n - a_{n-1} + \alpha a_1 + n a_0.$$

Combining Theorem D and Theorem 3, we get the following result:

Theorem 4: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that

$(i + 2)\alpha a_{i+2} + \{n - (i + 1)\} \alpha a_{i+1} \leq (i + 1)\alpha a_{i+1} + (n - i)a_i, i = 0, 1, 2, \dots, n - 2$.

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in

$$\frac{|\alpha a_1 + n a_0|}{M'} \leq |z| \leq \frac{1}{|n\alpha a_n + a_{n-1}|} [|\alpha a_1 + n a_0| + (\alpha a_1 + n a_0) - n\alpha a_n - a_{n-1}],$$

where M' is as given in Theorem 3

Taking $\alpha, a_i > 0, i = 0, 1, 2, \dots, n$ in Theorem 3, we get the following result:

Corollary 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with positive coefficients and

$D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ be a polar derivative of $P(z)$ with respect to a real number α such that $0 < (i + 2)\alpha a_{i+2} + \{n - (i + 1)\} \alpha a_{i+1} \leq (i + 1)\alpha a_{i+1} + (n - i)a_i, i = 0, 1, 2, \dots, n - 2$.

Then all the zeros of the polar derivative $D_\alpha P(z)$ lie in

$$1 \leq |z| \leq \frac{1}{n\alpha a_n + a_{n-1}} [2(\alpha a_1 + n a_0) - n\alpha a_n - a_{n-1}].$$

Proofs of Theorems

Proof of Theorem 1: We have

$$P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_2 z^2 + a_1 z + a_0$$

$$D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$$

$$= (n\alpha a_n + a_{n-1})z^{n-1} + \{(n-1)\alpha a_{n-1} + 2a_{n-2}\}z^{n-2} + \{(n-2)\alpha a_{n-2} + 3a_{n-3}\}z^{n-3} + \dots + \{3\alpha a_3 + (n-2)a_2\}z^2 + \{2\alpha a_2 + (n-1)a_1\}z + (\alpha a_1 + n a_0).$$

Now, consider the polynomial

$$F(z) = (1 - z)D_\alpha P(z)$$

$$\begin{aligned}
&= -(n\alpha a_n + a_{n-1})z^n + \{n\alpha a_n + (1 + \alpha - n\alpha)a_{n-1} - 2a_{n-2}\}z^{n-1} + \{(n-1)\alpha a_{n-1} \\
&+ (2 + 2\alpha - n\alpha)a_{n-2} - 3a_{n-3}\}z^{n-2} + \dots + \{3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1\}z^2 \\
&+ \{2\alpha a_2 + (n-1-\alpha)a_1 - na_0\}z + (\alpha a_1 + na_0) \\
&= (\alpha a_1 + na_0) + G(z),
\end{aligned}$$

where

$$\begin{aligned}
G(z) &= -(n\alpha a_n + a_{n-1})z^n + \{n\alpha a_n + (1 + \alpha - n\alpha)a_{n-1} - 2a_{n-2}\}z^{n-1} + \{(n-1)\alpha a_{n-1} \\
&+ (2 + 2\alpha - n\alpha)a_{n-2} - 3a_{n-3}\}z^{n-2} + \dots + \{3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1\}z^2 \\
&+ \{2\alpha a_2 + (n-1-\alpha)a_1 - na_0\}z
\end{aligned}$$

For $|z| \leq 1$, we have by using the hypothesis,

$$\begin{aligned}
|G(z)| &\leq |n\alpha a_n + a_{n-1}| + |n\alpha a_n + (1 + \alpha - n\alpha)a_{n-1} - 2a_{n-2}| + |(n-1)\alpha a_{n-1} + (2 + 2\alpha \\
&- n\alpha)a_{n-2} - 3a_{n-3}| + \dots + |3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1| \\
&+ |2\alpha a_2 + (n-1-\alpha)a_1 - na_0| \\
&= |n\alpha a_n + a_{n-1}| + n\alpha a_n + (1 + \alpha - n\alpha)a_{n-1} - 2a_{n-2} \\
&+ (n-1)\alpha a_{n-1} + (2 + 2\alpha - n\alpha)a_{n-2} - 3a_{n-3} + \dots \\
&+ 3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1 + 2\alpha a_2 + (n-1-\alpha)a_1 - na_0 \\
&= |n\alpha a_n + a_{n-1}| + (n\alpha a_n + a_{n-1}) - (\alpha a_1 + na_0) \\
&= M
\end{aligned}$$

Since $G(z)$ is analytic for $|z| \leq 1$ and $G(0)=0$, it follows by Schwarz Lemma that $|G(z)| \leq M|z|$ for $|z| \leq 1$.

Hence, for $|z| \leq 1$,

$$\begin{aligned}
|F(z)| &= |(\alpha a_1 + na_0) + G(z)| \\
&\geq |\alpha a_1 + na_0| - |G(z)| \\
&\geq |\alpha a_1 + na_0| - M|z| \\
&> 0
\end{aligned}$$

if

$$|z| < \frac{|\alpha a_1 + na_0|}{M}.$$

This shows that all the zeros of $F(z)$ lie in $|z| \geq \frac{|\alpha a_1 + na_0|}{M}$.

Since the zeros of $D_\alpha P(z)$ are also the zeros of $F(z)$, it follows that all the zeros of $D_\alpha P(z)$ lie in $|z| \geq \frac{|\alpha a_1 + na_0|}{M}$ and the proof of Theorem 1 is complete.

Proof of Theorem 3: We have

$$\begin{aligned}
P(z) &= a_n z^n + a_{n-1} z^{n-1} + \dots + a_2 z^2 + a_1 z + a_0 \\
D_\alpha P(z) &= nP(z) + (\alpha - z)P'(z) \\
&= (n\alpha a_n + a_{n-1})z^{n-1} + \{(n-1)\alpha a_{n-1} + 2a_{n-2}\}z^{n-2} + \{(n-2)\alpha a_{n-2} + 3a_{n-3}\}z^{n-3}
\end{aligned}$$

$$+ \dots + \{3\alpha a_3 + (n-2)a_2\}z^2 + \{2\alpha a_2 + (n-1)a_1\}z + (\alpha a_1 + na_0).$$

Now, consider the polynomial

$$\begin{aligned} F(z) &= (1-z)D_\alpha P(z) \\ &= -(n\alpha a_n + a_{n-1})z^n + \{n\alpha a_n + (1+\alpha-n\alpha)a_{n-1} - 2a_{n-2}\}z^{n-1} + \{(n-1)\alpha a_{n-1} \\ &+ (2+2\alpha-n\alpha)a_{n-2} - 3a_{n-3}\}z^{n-2} + \dots + \{3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1\}z^2 \\ &+ \{2\alpha a_2 + (n-1-\alpha)a_1 - na_0\}z + (\alpha a_1 + na_0) \\ &= (\alpha a_1 + na_0) + G(z), \end{aligned}$$

where

$$\begin{aligned} G(z) &= -(n\alpha a_n + a_{n-1})z^n + \{n\alpha a_n + (1+\alpha-n\alpha)a_{n-1} - 2a_{n-2}\}z^{n-1} + \{(n-1)\alpha a_{n-1} \\ &+ (2+2\alpha-n\alpha)a_{n-2} - 3a_{n-3}\}z^{n-2} + \dots + \{3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1\}z^2 \\ &+ \{2\alpha a_2 + (n-1-\alpha)a_1 - na_0\}z \end{aligned}$$

For $|z| \leq 1$, we have by using the hypothesis,

$$\begin{aligned} |G(z)| &\leq |n\alpha a_n + a_{n-1}| + |n\alpha a_n + (1+\alpha-n\alpha)a_{n-1} - 2a_{n-2}| + |(n-1)\alpha a_{n-1} + (2+2\alpha \\ &- n\alpha)a_{n-2} - 3a_{n-3}| + \dots + |3\alpha a_3 + (n-2-2\alpha)a_2 - (n-1)a_1| \\ &+ |2\alpha a_2 + (n-1-\alpha)a_1 - na_0| \\ &= |n\alpha a_n + a_{n-1}| + 2a_{n-2} - n\alpha a_n - (1+\alpha-n\alpha)a_{n-1} + 3a_{n-3} - (n-1)\alpha a_{n-1} \\ &- (2+2\alpha-n\alpha)a_{n-2} + \dots + (n-1)a_1 - 3\alpha a_3 - (n-2-2\alpha)a_2 \\ &+ na_0 - 2\alpha a_2 - (n-1-\alpha)a_1 \\ &= |n\alpha a_n + a_{n-1}| - n\alpha a_n - a_{n-1} + \alpha a_1 + na_0 \\ &= M'. \end{aligned}$$

Since $G(z)$ is analytic for $|z| \leq 1$ and $G(0)=0$, it follows by Schwarz Lemma that $|G(z)| \leq M|z|$ for $|z| \leq 1$.

Hence, for $|z| \leq 1$,

$$\begin{aligned} |F(z)| &= |(\alpha a_1 + na_0) + G(z)| \\ &\geq |\alpha a_1 + na_0| - |G(z)| \\ &\geq |\alpha a_1 + na_0| - M'|z| \\ &> 0 \\ &\text{if} \\ |z| &< \frac{|\alpha a_1 + na_0|}{M'}. \end{aligned}$$

This shows that all the zeros of $F(z)$ lie in $|z| \geq \frac{|\alpha a_1 + na_0|}{M'}$.

Since the zeros of $D_\alpha P(z)$ are also the zeros of $F(z)$, it follows that all the zeros of $D_\alpha P(z)$ lie in $|z| \geq \frac{|\alpha a_1 + na_0|}{M'}$ and the proof of Theorem 3 is complete.

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